Enable Your Audio!

To listen via your computer, enable your audio speakers.

To listen via phone, dial 1-855-797-9485. Enter the access code 668 614 225 and the pound (＃) key. Then follow the system prompts to synchronize your phone line and web I.D., located under the Event Info tab.

Today’s seminar will begin shortly.
Innovations in Public-Sector Grant Making
Your Host

Eric Giles
Learning Services Manager
If you have joined us today via a telephone, please complete your connection following the system prompts to synchronize your phone line and web identity.
If you have joined us today via a telephone, please complete your connection following the system prompts to synchronize your phone line and web identity.
If you have joined us today via a telephone, please complete your connection following the system prompts to synchronize your phone line and web identity.
Moderator

Kelly Barsdate
Chief Program and Planning Officer
I. State Arts Agency Grant Making

II. Grant Innovations from Other Sectors

III. Audience Q&A
Agenda

I. State Arts Agency Grant Making

II. Grant Innovations from Other Sectors

III. Audience Q&A
Kentucky Arts Council
Arts Day Grantee Recognition
citizen participation
citizen oversight
transparency
equity
geographic distribution
ArtsWA
Commission Meeting in Ellensburg, Washington
FUNDING PRINCIPLES

1) Honor panel process.
2) Reward excellence.
3) Correlate funding to panel review rankings.
4) Support core programs first:
   A. General Operating and General Program Support
   B. Local Arts Program (County Arts Agencies)
   C. Co-Sponsored Projects
5) Support current grantees as much as possible to maintain stability.
6) Award no more than 25% of an org’s total income (GOS/GPS).
7) Support a minimum of 5% of operations, if possible (GOS/GPS).
8) Adjust/modify awards in keeping with Council published priorities.
9) Achieve South Jersey target.
10) Fund in meaningful amounts (no less than $5,000).
11) Consider all other sources of state funding.
12) Capitalize on opportunities if resources allow.
Arizona Commission on the Arts
Grant Adjudication Panel

Arizona Art Tank Competition
Proposal Review Panel
Texas Government Code
Texas Commission on the Arts
Title 4, Subtitle D, Chapter 444,
Subchapter B § 444.024 (c)

“The commission by rule shall adopt equitable procedures for the distribution of grants to recipients who reflect the geographical, cultural, and ethnic diversity of the state's population.”
## Size of Award

<table>
<thead>
<tr>
<th>Size of Award</th>
<th># of Foundation Grants</th>
<th># of NEA Grants</th>
<th># of SAA Grants</th>
</tr>
</thead>
<tbody>
<tr>
<td>&gt; $5 million</td>
<td>31</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>$1 - $5 million</td>
<td>348</td>
<td>8</td>
<td>7</td>
</tr>
<tr>
<td>$500,000 - $999,999</td>
<td>410</td>
<td>51</td>
<td>21</td>
</tr>
<tr>
<td>$100,000 - $499,999</td>
<td>2,805</td>
<td>51</td>
<td>326</td>
</tr>
<tr>
<td>$50,000-$99,999</td>
<td>2,824</td>
<td>278</td>
<td>547</td>
</tr>
<tr>
<td>$25,000-$49,999</td>
<td>4,229</td>
<td>658</td>
<td>1,132</td>
</tr>
<tr>
<td>$10,000-$24,999</td>
<td>7,656</td>
<td>1,012</td>
<td>3,599</td>
</tr>
<tr>
<td>Under $10,000</td>
<td>N/A</td>
<td>8</td>
<td>14,718</td>
</tr>
<tr>
<td><strong>Total Arts Grants</strong></td>
<td><strong>18,303</strong></td>
<td><strong>2,066</strong></td>
<td><strong>20,350</strong></td>
</tr>
</tbody>
</table>

**Median Award $ Amount**: $25,000

**Sources:**
- Foundation Grants: GIA Reader, Vol 27, No 1, Winter 2016. Foundation Center sample excludes grants of less than $10,000.
- NEA Grants: arts.gov grants search, FY2015
- SAA Grants: Final Descriptive Report records

Innovations in Public-Sector Grant Making
Photo credit:
Scott Meslow, "How groundbreaking art is already emerging from virtual reality," April 21, 2016, Getty Images
http://theweek.com/articles/619412/how-groundbreaking-art-already-emerging-from-virtual-reality
Photo credit: http://museumtwo.blogspot.com/

Innovations in Public-Sector Grant Making
Speakers

Jen Bokoff
Director of Knowledge Services
GrantCraft

Beth Simone Noveck
Co-Founder and Director
The GovLab

Andrew Young
Associate Director of Research
The GovLab
Innovations in Open Grantmaking

National Assembly of State Arts Agencies Webinar
Agenda

• Meet the Presenters
• What is Open Grantmaking?
• Why Open Grantmaking?
• Innovations Pre-Granting
• Innovations in Granting
• Implementation and Assessment
• Discussion and Questions

#opengrantmaking
Meet the Presenters

Jen Bokoff (@jenbo1)  
GrantCraft

Andrew Young (@_AndrewYoung)  
The GovLab

Beth Simone Noveck (@bethnoveck)  
The GovLab
What Is Open Grantmaking?

- Enabling broader and more diverse groups of people to participate
- Mandating more transparency to improve accountability
- Incorporating greater use of data and evidence
  (Or some combination of these elements)
Why Open Grantmaking?

• We have a 20th-century system for making billions of dollars of crucial public investments – including over 20,000 grants per year from SAAs

• Data-driven and collaborative technologies allow for more innovative, inclusive approaches to designing, awarding and measuring the impact of grants

• More experimentation will help to uncover what works in practice
The Report

Innovations Pre-Granting

• Ideation Challenges
• Improving the Quality of Applications through Matchmaking
• Prioritizing Bottom-Up Participation

Innovations in Granting

• Open Peer Review and Participatory Judging
• Evidence-Based Grantmaking
• Expert Networking
• Open Alternatives to Grants
The Report

Innovations Post-Granting

• Opening Data About Grants, Grantors, and Grantees
• Standardizing Reporting
• Opening Access to Grant-Funded Work Product
Innovations Pre-Granting: Ideation Challenges

Using “the crowd” to brainstorm ideas for the design or goal of the grant itself

Outsiders can be useful in defining the questions funding could help answer or the problems they could help solve

Examples:

• Harvard University Type 1 Diabetes Challenge
• White House Smart Disclosure Initiative
Innovations Pre-Granting: Ideation Challenges

Why Do It?

• Diversity of Input
• Flexibility
• Buzz

Why Not to Do It?

• Institutional Constraints
• Times
Innovations in Granting: Open Peer Review and Participatory Judging

More open judging processes can involve opportunities for public input at the outset to narrow a broader field or, later on, to select final winners from a shortlist.

This input could consist of public comments or voting, judging by panels of outside peer reviewers, or a combination of both.

Examples:

• Case Foundation’s ‘Making It Your Own Awards’
• Wikimedia Foundation
Innovations in Granting: Open Peer Review and Participatory Judging

Why Do It?

• Smarter Judging
• Community
• Legitimacy
• Skill Building

Why Not to Do It?

• Confidentiality
• Timing
• Popularity
Greater openness can lead to the availability of more and better evidence, which, in turn, could enable funders to use data to help steer money toward interventions that have already been proven to create economic and scientific value.

One technique involves giving more money where there is more evidence and giving smaller amounts to riskier and more entrepreneurial endeavors.

Examples:

- Arnold Foundation’s Pay for Success
- Department of Education’s i3
Innovations in Granting: Evidence-Based Grantmaking

Why Do It?

• Cost Savings
• Entrepreneurial Innovation

Why Not to Do It?

• When Impact is Less Quantifiable
Innovations in Granting: Open Alternatives to Grants

Through crowdfunding, micropayments, and prize-backed challenges, government can use its convening power to harness more broad-based sources of funds and collaborate with private sector partners to fund innovation in new ways and generate problem-solving ideas.

Examples:

- NASA’s Center of Excellence for Collaborative Innovation (CoECl)
- ASPCA’s Rachael Ray $100K Challenge
Innovations in Granting: Open Alternatives to Grants

Why Do It?

• Cost Efficiency
• Community

Why Not to Do It?

• Legal Constraints
• Legitimacy
Learning across Sectors
Getting from Here to There: Implementation and Assessment
Thank You!

Jen Bokoff (@jenbo1), GrantCraft

Beth Simone Noveck (@bethnoveck), The GovLab

Andrew Young (@_AndrewYoung), The GovLab
What’s on your mind?

Q&A

Chat
Innovations in Public-Sector Grant Making

Jen Bokoff
GrantCraft

Beth Simone Noveck
The GovLab

Andrew Young
The GovLab

Q&A Chat
Innovations in Public-Sector Grant Making
Thank you

Thanks for participating!

Questions or comments about this session? Contact NASAA Learning Services Manager Eric Giles: eric.giles@nasaa-arts.org

Support from NASAA's member state arts agencies and the National Endowment for the Arts made this session possible.